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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

Application Number	09/458,302
Filing Date	December 10, 1999
First Named Inventor	FIKES, John, et al.
Group Art Unit	1644
Examiner Name	Schwadron, Ronald B.
Attorney Docket Number	018623-014400US

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☒ Amendment / Response –
"Communication Under 37 CFR
1.821-1.825 and Amendment"
w/Appendix A: marked-up changes
and Appendix B: substitute pages

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority
Document(s)

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

(1)-Sequence Listing paper copy
pages 1-510

(2) Sequence Listing diskette copy

(3)-Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Reg.-No. 44,879

November 2, 2001

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PATENT
018623-014400US
EPI 0144.00 US

TOWNSEND and TOWNSEND and CREW LLP

By: Malinda Regit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

FIKES *et al.*

Application No.: 09/458,302

Filed: December 10, 1999

For: INDUCING CELLULAR IMMUNE
RESPONSES TO
CARCINOEMBRYONIC ANTIGEN
USING PEPTIDE AND NUCLEIC ACID
COMPOSITIONS

Examiner: Schwadron, Ronald B.

Art Unit: 1644

COMMUNICATION UNDER

37 C.F.R. §§ 1.821-1.825

AND

AMENDMENT

Box SEQUENCE
Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

In response to the Office Communication mailed October 2, 2001, and further to Applicants' response mailed June 15, 2001, Applicants respectfully request entry of the following amendment and remarks. Enclosed herewith is a copy of the computer readable and paper forms of the sequence listing submitted in Applicants' June 15, 2001 response.

Applicants submit that the marked up version of the amendment previously submitted fully identified the amendments to the substitute sheets, *i.e.*, the inserted SEQ ID NOs, because each SEQ ID NO that was added was shown by indicating the range of SEQ ID NOs added to each page of the Table. Accordingly, the SEQ ID NO for every peptide could be identified in the marked up copy. However, in order to expedite prosecution, Applicants have revised the marked up copy of the amendments in accordance with the October 2, 2001 Office Communication.

